## CHANGE IN UNDRAINED SHEAR STRENGTH CHARACTERISTICS OF SATURATED REMOLDED CLAY DUE TO SWELLING

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#### ABSTRACT

Change in undrained shear strengths  $s_u$  due to swelling under  $K_0$  and isotropic stress conditions were investigated for three saturated remolded clays. Test results indicate that the relationship between the ratio  $s_u/p$ , where p is the vertical effective stress at the end of swelling, and the overconsolidation ratio n is represented by a straight line in logarithmic plot. Moreover, the slope of this line is almost the same for both  $K_0$  and isotropic stress conditions, and its value is closely approximate to that of  $(1-C_s/C_c)$ , where  $C_c$  and  $C_s$  are compression and swelling indices, respectively.

Based on the test results, the authors proposed a simple method of estimating the in situ undrained shear strength  $s_u$  for overconsolidated clays from a series of conventional laboratory test.

Furthermore, the coefficient of earth pressure at rest  $K_0$  and the pore pressure coefficient A at failure were discussed in relation to overconsolidation ratio n.

# Key words:at rest pressure, cohesive soil, consolidated undrained shear, overconsolida-<br/>tion, pore pressure, shear strength, triaxial compression testIGC:D6/D5

## INTRODUCTION

Excavations in saturated clay deposits lead to gradual decrease in shearing resistance of the clay due to swelling. So-called "long term" type problems correspond to such cases and effective strength parameters c' and  $\phi'$  have been proposed to apply to this type of problems (Bishop and Bjerrum, 1960). In such cases, the failure of the clay layer is assumed to occur at a sufficiently slow rate so that little or no excess pore pressures are developed, and the pore pressures used to calculate the effective normal stresses acting on the potential failure surface are those corresponding to the ground water table or steady state seepage.

However, there is no evidence that the failure of the clay layer occurs at a sufficiently slow rate as in a drained test. Moreover, it is very difficult, in general, to measure accurately small values of cohesion intercept c' (Ladd, 1971). Therefore, taking the position that the stability problems should always be treated conservatively, it might be more practical to apply the  $\phi_u=0$  analysis to the long term type problem, based on the

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46

MITACHI AND KITAGO

estimation of the rate of decrease in undrained shear strength  $s_u$  in relation to overconsolidation ratio n.

In this paper, a new test procedure to estimate the rate of decrease in  $s_u$  due to swelling is investigated and results of a series of triaxial compression test on normally and overconsolidated clay are presented.

## $s_u/p$ IN OVERCONSOLIDATED CLAY

In order to find the relationship between the ratio  $s_u/p$  in overconsolidated clay and that in normally consolidated clay, assumptions are made as follows (refer to Fig. 1), wherein p is preshear effective stress.







Fig. 1. Assumptions about water content vs. effective stresses

(1) In normal consolidation state, the relation between water content w after consolidation and effective vertical consolidation pressure  $p_c$  is represented by a straight line in semi-logarithmic plot. And the slope of this line, i.e. compression index  $C_c$ , is a constant for a given clay, irrespective of the stress systems during consolidation.

(2) In overconsolidation state, the relation between water content w after swelling and effective vertical swelling pressure  $p_s$  is also represented approximately by a straight line in semi-logarithmic plot. And the inclination of this line, i.e. swelling index  $C_s$ , is a constant for a given clay, irrespective of the stress systems in swelling process.

(3) In normally consolidated clay, w versus  $\log p_f$  line is parallel to the w versus  $\log p_c$  line mentioned above, where  $p_f$  is  $(\sigma_1' + \sigma_3')/2$ , and  $\sigma_1'$  and  $\sigma_3'$  are the major and minor principal effective stresses at failure, respectively.

(4) In overconsolidated clay, w versus  $\log p_f$  relationship is approximated by a straight line within the range of  $n \leq 10$ . In this paper, a symbol  $C_f$  is applied to the slope of this line.

Based on these assumptions, relationship between  $s_{u1}/p_1$  versus  $s_{un}/p_n$  can be derived as follows, where subscripts 1 and *n* represent the state of normal and overconsolidation, respectively.

According to Hvorslev failure criterion, we get following equation referring to Mohr's stress circle in Fig. 2.

$$s_u = \frac{\sigma_1 - \sigma_3}{2} = c_e \cos \phi_e + \frac{\sigma_1' + \sigma_3'}{2} \sin \phi_e$$

or

$$s_u = \kappa \cdot \sigma_e' \cos \phi_e + p_f \sin \phi_e \tag{1}$$

where,  $c_e$  and  $\phi_e$  are effective cohesion and effective angle of internal friction, respectively.  $\kappa$  is the coefficient of cohesion, and  $\sigma_e'$  is the equivalent consolidation pressure. In

this paper, effective vertical stress is adopted as equivalent consolidation pressure. Idealized relationships for w versus  $\log p$  and w versus  $\log p_f$ are illustrated in Fig. 3. From this figure, following equations are obtained.

$$w_a - w_b = C_c \log p_b / p_a = C_s \log p_b / p_c = C_f \log p_{fb} / p_{fc}$$

$$(2)$$

Combining  $\lambda = C_s/C_c$  and  $n = p_b/p_c$  with Eq. (2), we get

$$p_b/p_a = n^\lambda \tag{3}$$

And putting  $\mu = C_s/C_f$  into Eq. (2) we obtain  $p_{cb}/p_{cc} = n^{\mu}$  (4)

The ratio of undrained shear strength  $s_{uc}$  to effective swelling pressure  $p_c$  at point c in Fig. 3 is expressed as follows. Since the equivalent consolidation pressure at point c is  $p_a$ , following equation is obtained on referring to Eq. (1).





$$\mu_{e}/p_{c} = \kappa p_{a}/p_{c} \cos \phi_{e} + p_{fc}/p_{c} \sin \phi_{e}$$

$$\tag{5}$$

Meanwhile, from Eqs. (3), (4) and 
$$n = p_b/p_c$$
, we obtain

$$p_a/p_c = n^{1-\lambda}, \quad p_{fc}/p_c = p_{fb}/p_b n^{1-\mu} \tag{6}$$

Substituting these into Eq. (5), following equation is derived.

$$r_{uc}/p_c = \kappa n^{1-\lambda} \cos \phi_e + p_{fb}/p_b n^{1-\mu} \sin \phi_e \tag{7}$$

• On the other hand,  $s_u/p$  at point b, which is in the state of normal consolidation, is represented as follows.

$$s_{ub}/p_b = \kappa \cos \phi_e + p_{fb}/p_b \sin \phi_e \tag{8}$$

In Eq. (7), if we assume as  $\lambda \doteq \mu$  within the range of  $n \leq 10$ , we obtain,

$$s_{uc}/p_c = (\kappa \cos \phi_e + p_{fb}/p_b \sin \phi_e) n^{1-\lambda}$$
(9)

Therefore, from Eqs. (8) and (9) we get following approximate equation,

$$s_{uc}/p_c \doteq s_{ub}/p_b n^{1-\lambda}$$

In general,

$$s_{un}/p_n \doteq s_{u1}/p_1 n^{1-\lambda} \tag{10}$$

This equation is valid for any clay satisfying the assumptions (1) to (4) and the condition  $\lambda \doteq \mu$ .

#### EXPERIMENTS

## Clays Tested

Index properties of clay samples used are listed in Table 1. These samples were thoroughly mixed with distilled water, sieved by a  $420\,\mu$  size sieve and stored in the state of slurry. Before making test specimen, the slurry were stirred again in a soil mixer for about an hour and then transfered under a vacuum to a preconsolidation cell, the diameter and the height of which are 165mm and 350mm, respectively. Preconsolidation pressure

| Sample number | Liquid limit (%) | Plasticity index (%) | Specific gravity | Clay fraction ( $\langle 2\mu \rangle$ % |
|---------------|------------------|----------------------|------------------|--|
| 1             | 52               | 21                   | 2.70             | 21                                       |
| 2             | 51               | 21                   | 2.72             | 19                                       |
| 3             | 72               | 32                   | 2. 69            | 26                                       |

 Table 1. Index properties of samples

was 0.8kg/cm<sup>2</sup> and consolidation period was about 4 days. Cylindrical specimens for triaxial tests, 50mm in diameter and 120mm in height, were trimmed from this preconsolidated sample.

## Testing Procedure

Four series of consolidated undrained triaxial test were performed on three saturated remolded clays mentioned above. Test conditions are as follows.

(1) CIU Test; Specimens are consolidated isotropically and then sheared under undrained condition.

(2) CIRIU Test; Specimens are first consolidated under all-round pressure, allowed to swell under reduced isotropic pressure and finally subjected to undrained shear.

(3)  $CK_0U$  Test; Specimens are consolidated under  $K_0$  condition and then subjected to undrained shear.



Fig. 4. Schematic diagram of  $K_{0^-}$  control system



Fig. 5. Effective consolidation (swelling) pressure vs. water content

(4)  $CK_0RK_0U$  Test; Before undrained shear, the consolidation and succeeding swelling are carried out under  $K_0$  condition.

CIU and CIRIU tests were carried out with conventional triaxial apparatus. While, in consolidation and swelling processes of  $CK_0U$  and  $CK_0RK_0U$  tests, following  $K_0$  consolidation equipment was used to establish the condition of no lateral strain.

Fig. 4 schematically illustrates an equipment designed for controlling automatically the  $K_0$  condition during consolidation, on referring to Lewin's method (Lewin, 1971). Drainage water from specimen is led to burette via null indicator and control cylinder. This route is filled with de-aired water. Sectional area of the upper room of control cylinder (sectional area of piston rod is subtracted) is made to be equal to the sectional area  $A_0$  of the specimen. This equipment establishes the  $K_0$  condition in such a manner that the change in volume  $\Delta V$  of specimen is made equal to the axial displacement  $\Delta H$  multiplied by the original cross sectional area  $A_0$  of the sample, i.e.  $\Delta V = A_0 \cdot \Delta H$ .

Mechanism of this equipment is as follows. The specimen is set up in a conventional triaxial cell in an usual manner and the  $K_0$  control system is connected to the specimen just prior to consolidation. The test procedure involves increasing the cell pressure in Subsequent volume change due to consolidation under all-round pressure makes an steps. movement to the mercury in the null indicator. Photo-electric switch mounted on the null indicator detects this movement and switches on, through delay relay and magnetic switch, the motor which drives the loading shaft to initiate the vertical compression of the specimen. At the same time, the movement of the shaft carries up with it the control cylinder. This displacement of the control cylinder sucks back the mercury and so switches off the motor. In the consolidation stage, the control unit of photo-electric switch is set to "dark on" and the change-over switch of the motor to "forward" so that the interception of the light of the photo-electric switch by the mercury switches on and rotates the motor in the direction compressing the specimen. We can perform  $K_0$  swelling test in similar manner as the  $K_0$  consolidation by setting the control unit to "light on" and the motor to "reverse".

In this way, depending on the magnitude of the final pressure, the consolidation took 3 to 6 days in  $CK_0U$  and  $CK_0RK_0U$  tests. In the process of consolidation in CIU and CIRIU tests, all-round pressure was increased in steps so that the rate of increase was to be nearly equal to that in  $K_0$  consolidation. Consolidation duration after the cell pressure reached final pressure was specified to be 24hr. in both  $K_0$  and isotropic conditions.

Cell pressure in isotropic swelling was decreased to final pressure in one step, while, in  $K_0$  swelling, it was decreased in 3 to 4 steps. However, the time allowed for swelling was 24 hr. irrespective of steps in cell pressure decrease. Initial back pressure of  $1 \text{ kg/cm}^2$  was applied to all specimens.

The rate of axial strain in undrained compression was 0.05%/min. for all specimens and pore pressure was measured at the bottom of the specimen.

## TEST RESULTS AND DISCUSSION

Relationship between Water Content and Preshear Effective Stress

It was reported that the relationship between the water content w and the average consolidation presure  $\sigma'_{mc}$  in  $K_0$  consolidation was almost identical with that in isotropic consolidation (Henkel and Sowa, 1963).

Fig. 5 illustrates the w versus  $\log p$  relationship for 4 tests (Results of Oedometer test are also plotted in this figure). For  $K_0$  test, w is plotted against both vertical and average effective stresses. In the consolidation range, w versus  $\log p_c$  lines are almost parallel and  $K_0$  consolidation gives lower water content when compared to that of isotropic consolida-

tion at the same average effective stress. By the way, Akai and Adachi (1965) demonstrated that the volumetric strain in the  $K_0$  test was larger than that in isotropic consolidation test at the same average effective stress. Khera and Krizek (1967) found that the water content decreases with the increase in stress ratio at the same average effective These test results indicate that the water content depends not only on the average stress. effective stress but also on the stress ratio.

Results such as shown in Fig. 5 are also obtained by others (e.g. Lewin and Burland 1970, Campanella and Vaid 1972). Moreover, Henkel and Sowa (1963) described their own experimental results as "the fact that the water content and p' lines for both types of consolidation almost coincide must be regarded as fortuitus".

In the light of these experimental results, it will be reasonable to consider as follows. Since in the  $K_0$  consolidation there exists a volume change due to dilatancy exerted by the increase in deviator stress, the water content after consolidation should be lower than that in isotropic consolidation at the same average effective stress.

Apart from the above discussion, the fact that w versus log  $p_c$  lines are almost parallel in normal consolidation range, indicates that compression index  $C_c$  is almost constant for both  $K_0$  and isotropic stress conditions. And this means the adequacy of the preceeding assumption (1).

Turning attention to w versus  $\log p_s$  relationship in swelling range, they approximate to straight lines within the range of  $n \leq 10$ . And the lines representing w versus  $\log p_s$  in terms of effective vertical swelling pressure  $\sigma_{1s}$  in  $K_0$  and isotropic swelling tests are almost parallel. This means that the swelling index  $C_s$  is constant and satisfies the assump-Moreover,  $\lambda$ , which is the ratio of compression index  $C_c$  to swelling index tion (2).  $C_s$  in terms of vertical effective stress, is almost identical for both  $K_0$  and isotropic stress conditions. The values  $\lambda$  are computed as 0.20, 0.16 and 0.11 for Sample No. 1, 2 and 3, respectively.

## Coefficient of Earth Pressure at Rest

The coefficient of earth pressure at rest  $K_0$  is defined as the ratio of the minor principal

| T | able | 2. Coef<br>angle of<br>samples | ficient of<br>shearing | earth pres<br>resistance | sure at res<br>in normal | t and effective<br>ly consolidated |
|---|------|--------------------------------|------------------------|--------------------------|--------------------------|------------------------------------|
|   |      |                                |                        | K                        |                          | <b>ፊ'</b> (°)                      |

| C             | K <sub>0</sub> |          |          | $\boldsymbol{\phi}'(\tilde{c})$ |         |
|---------------|----------------|----------|----------|---------------------------------|---------|
| Sample number | Observ.        | Eq. (12) | Eq. (13) | CIU                             | $CK_0U$ |
| 1             | 0.45           | 0.40     | 0. 43    | 37.2                            | 35.1    |
| 2             | 0.45           | 0.43     | 0.45     | 35.1                            | 34. 9   |
| 3             | 0. 47          | 0. 41    | 0.44     | 36.1                            | 34. 0   |

effective stress to the major one after consolidation or swelling under the condition of no lateral strain. Table 2 shows the average  $K_0$  value in normal consolidation and the effective angle of shearing resistance  $\phi'$  obtained from CIU test. Brooker and Ireland (1965) reported that the value  $K_0$ 

in normally consolidated clay could be approximated by the empirical relationship

$$K_0 = 0.95 - \sin \phi'$$

, whereas the original relationship proposed by Jaky

$$K_0 = 1 - \sin \phi'$$

were more valid for cohesionless soils.

However, Akai and Adachi (1965) and Yamaguchi (1972) described that both equations were open to question because  $K_0$  value after consolidation were combined to the angle of shearing resistance at failure. Yamaguchi (1972) derived the equation expressing the relation between  $K_0$  value and interparticle friction angle  $\phi_{\mu}$ , and combining  $\phi_{\mu}$  and  $\phi'$  by

50

(11)

(12)

Caquot's equation, he finally obtained the following equation.

$$K_0 = \frac{1 - 0.404 \tan \phi'}{1 + \sin \phi'} \tag{13}$$

In table 2, there listed the values of  $K_0$  calculated by Eqs. (12) and (13) using  $\phi'$  obtained from CIU test.  $K_0$  values calculated by Eq. (13) are closer to the observed ones.

Brooker and Ireland (1965) found that the  $K_0$  values in overconsolidation state could be expressed by the function of overconsolidation ratio n and plasticity index  $I_p$ . In this paper, let us investigate the relationship between the earth pressure at rest in overconsolidation state  $K_{0n}$  and overconsolidation ratio n.

Fig. 6 schematically illustrates the general trend existing in between observed water content and consolidation pressure, which can be seen in Fig. 5. From this figure, following equation is obtained.

$$w_a - w_b = C_{s1} \log p_b / p_d = C_{sm} \log p_b' / p_d'$$
(14)

where,  $C_{s_1}$  is the slope of the swelling line  $\overline{bd}$  which is represented in terms of vertical effective stress and  $C_{sm}$  is that of the swelling line b'd' in terms of average effective stress. While, there exists the following relationship.

$$p_b' = \frac{p_b (1+2K_{01})}{3}, \quad p_a' = \frac{p_a (1+2K_{0n})}{3}, \quad p_b/p_a = n$$
 (15)

Combining Eq. (15) with Eq. (14)

$$1 + 2K_{0n} = (1 + 2K_{01}) n^{(1 - C_{s1}/C_{sm})}$$
(16)

As  $\overline{bd}$  and  $\overline{b'd'}$  lines intersect each other at point c,

$$C_{\dot{s}_1}\log p_b/p_c = C_{sm}\log p_b'/p_c \tag{17}$$

, where  $p_b/p_c = n_0$  is overconsolidation ratio at point c. Combining Eqs. (15), (17) and  $p_b/p_c = n_0$ , we get following equation.

$$C_{s1}/C_{sm} = 1 + \frac{\log \frac{1+2K_{01}}{3}}{\log n_0}$$
(18)

Putting above equation into Eq. (16), following equation is obtained.

$$1 + 2K_{0n} = (1 + 2K_{01})n^{\alpha} \tag{19}$$

, where

$$\alpha = -\frac{\log \frac{1+2K_{01}}{3}}{\log n_0} \tag{20}$$

Comparison of  $K_{0n}$  values calculated by Eq. (19) to those observed in the tests are shown in Fig.7, where the values  $K_{01}$  and  $n_0$  required to calculate the  $K_{0n}$  were read from Table 2 and Fig.5, respectively. Based on the theoretical consideration, Yamanouchi and Yasuhara (1974) described that  $K_{0n}$  is defined as  $K_{0n} = f(n, I_p)$ . Further, they reviewed the data reported up to that time and found that the slope of  $\log (1+2K_{0n})$  vs.  $\log n$  line, i.e.  $\lambda_m$  varies with  $I_p$ , where,  $\lambda_m = C_{sm}/C_c$ .

As it is apparent from the above mentioned investigation and from the data shown in Fig. 7 by the present authors,  $K_{0n}$  can be expressed as a function of n. But, finding out the dominant factor, which govern the value  $\lambda_m$  of Yamanouchi and Yasuhara or  $n_0$  in the authors' Eq. (20), is the subject for a future study.

## Pore Water Pressure Coefficient at Failure

Fig.8 shows the variation of the pore water pressure coefficient at failure  $A_f$  with the overconsolidation ratio n, where the value  $A_f$  at n=1 i.e. in normal consolidation state is

51











ig. 8. Pore water pressure coefficient at failure v overconsolidation ratio

the average of values obtained from the tests in different consolidation pressures.

Curves in Fig. 8 were obtained by the following manner. As stated before, if the assumption  $\lambda \doteq \mu$  is permitted,  $C_f$  is nearly equal to  $C_c$ , and hence  $p_{fa}$  is equal to  $p_{fc}$  in Fig. 3. For  $CK_0U$  and  $CK_0RK_0U$  test,  $p_{fa}$  and  $p_{fc}$  can be expressed in terms of undrained shear strength  $s_u$ , preshear effective stress p, coefficient of earth pressure at rest  $K_0$ and the pore water pressure coefficient at failure  $A_f$  as follows.

$$p_{fa} = p_a \{K_{0a} + A_{fa}(1 - K_{0a})\} + s_{ua}(1 - 2A_{fa})$$

$$p_{fc} = p_c \{K_{0c} + A_{fc}(1 - K_{0c})\} + s_{uc}(1 - 2A_{fc})$$

$$(21)$$

According to Hvorslev failure criterion, undrained strength  $s_{ua}$  of normal consolidation will be equal to the undrained strength  $s_{uc}$  of overconsolidation, provided that the water content at point *a* is equal to that at *c* and  $p_{fa}=p_{fc}$ . Putting above condition and the relationship  $s_{uc}/p_c = s_{ua}/p_a n^{1-2}$  into Eq. (21) we get

$$A_{fc} = \frac{A_{fa} \{1 - p_a/2s_{ua}(1 - K_{0a})\} - p_a/2s_{ua}(K_{0a} - K_{0c}n^{\lambda-1})}{1 - p_a/2s_{ua}(1 - K_{0c})n^{\lambda-1}}$$

In general

$$A_{fn} = \frac{A_{f_1}\{1 - p_1/2s_{u_1}(1 - K_{01})\} - p_1/2s_{u_1}(K_{01} - K_{0n}n^{\lambda - 1})}{1 - p_1/2s_{u_1}(1 - K_{0n})n^{\lambda - 1}}$$
(22)

, where subscripts 1 and *n* represent the state of normal and overconsolidation, respectively. For *CIRIU* test, let  $K_{01} = K_{0n} = 1$  in Eq. (22), we obtain the following equation.

$$A_{fn} = A_{f1} - p_1 / 2s_{u1} (1 - n^{\lambda - 1})$$
(23)

Eq. (23) is substantially the same as Eq. (22) of Roscoe et al. (1958), although the form of the equation is different to each other. Putting the values  $A_{f1}$  (average value  $A_f$  at n=1),  $s_{u1}/p_1$  (shown later in Table 3),  $1-\lambda$  (also shown later in Table 4),  $K_{01}$  (read from Table 2) and  $K_{0n}$  (calculated by Eq. 19) into Eqs. (22) and (23), we obtain the curves in Fig. 8. As seen in the figure, the calculated values of  $A_{fn}$  agree well with the observed ones, especially in the number 1 and 2 samples.

## Effective Stress at Failure

Fig. 9 represents the relation between the water content w after consolidation or swelling and effective stress  $p_f$ , where  $p_f$  is equal to the average value of major and minor effective principal stresses at failure. From this figure, it is obvious that w versus  $\log p_f$ lines in normally consolidated clay are straight and the slopes of these lines are almost equal to the compression index  $C_c$ . This fact satisfies the previous assumption (3). Further, the points corresponding to CIRIU and  $CK_0RK_0U$  tests are closely adjacent to the w versus  $\log p_f$  lines for CIU and  $CK_0U$  test, respectively. Therefore, the previous assumption of approximate equality of  $\lambda$  and  $\mu$  will be permitted within the range of  $n \leq$ 10.

Change in Undrained Shear Strength Due to Consolidation and Swelling

The variation of the undrained strength  $s_u$  with the preshear effective stress p is shown



Fig. 9. Relationship between water content and effective stress at failure

53

54









Fig. 11. Rate of change in undrained shear strength vs. overconsolidation ratio

in Fig. 10. The ratio  $s_u/p$  for normally consolidated clay in CIU tests are 5 to 12 per cent larger than those in  $CK_0U$  tests (see Table 3). These results coincide with that

Table 3. Comparison of the ratio  $s_u/p$  in CIU and  $CK_0U$  tests

| Test              | Sample number |      |       |  |
|-------------------|---------------|------|-------|--|
| Test -            | 1             | 2    | 3     |  |
| CIU               | 0. 42         | 0.36 | 0. 41 |  |
| CK <sub>0</sub> U | 0.40          | 0.34 | 0. 36 |  |

Table 4. Comparison of the value  $(1-\gamma)$  and  $\beta$ 

| Sample number | 1λ    | β     |  |
|---------------|-------|-------|--|
| 1             | 0.80  | 0.81  |  |
| 2             | 0.84  | 0. 85 |  |
| 3             | 0. 89 | 0. 86 |  |
|               | 1     | 1     |  |

reported by others (e.g. Henkel and Sowa, 1963, Nakase et al. 1969).

Fig. 11 illustrates the relationships between the overconsolidation ratio n and the normalised ratio  $s_u/p$ , in which p is the preshear effective vertical stress. Broken lines are those replotted from the data given by Ladd and Foott (1974) in terms of  $s_u/p$  versus  $\log n$ . Their data were obtained by direct simple shear test, the test procedure of which corresponds to the  $CK_0RK_0U$  test by the present authors. As shown in Fig. 11, it is obvious that the relation between  $\log s_u/p$  and  $\log n$  is represented by a straight line (the data for Sample No. 2 were not shown in this figure because they fall in the same range in Sample No. 1), and the line for each  $CK_0$   $RK_0U$  test.

Consequently,  $s_u/p$  versus *n* relationship within the range of  $n \leq 10$  can be represented as follows.

$$(s_{un}/p_n)_{K_0} = (s_{u1}/p_1)_{K_0} n^{\beta}$$
(24)

$$(s_{un}/p_n)_I = (s_{u1}/p_1)_I n^{\beta}$$
(25)

where, the subscripts  $K_0$  and I represent the  $K_0$  and isotropic stress condition, respectively and the exponent  $\beta$  represents the slope of the  $\log s_u/p$  versus  $\log n$  line.

The form of Eqs. (24) and (25) are quite the same as Eq. (10) and the exponent  $\beta$  in Eqs. (24) and (25) corresponds to  $(1-\lambda)$  in Eq. (10). By the way, the comparison of the values of  $(1-\lambda)$  with those of  $\beta$  indicates fairly good agreement as shown in Table 4.

In this study, the experimental data are limited within the range of  $n \leq 10$ . Experiments in isotropic condition for wide range of *n* values up to 375 were carried out by Yudhbir and Varadarajan (1974). Their data indicate that  $(s_{un}/p_n)_I$  values increase abruptly for *n* values greater than about 100. In any case, the in situ undrained shear strength of a clay soil with any value of *n* within the range of  $n \leq 10$  can be estimated by using the value  $(s_{u1}/p_1)_I$  obtained from the conventional *CU* triaxial compression test and  $\lambda$  obtained from the oedometer test, provided that the relation between  $(s_{u1}/p_1)_I$  and  $(s_{u1}/p_1)_{K_0}$  is established.

Previously, the authors (Mitachi and Kitago 1973) proposed a temporary method for estimating the  $(s_{u1}/p_1)_{K_0}$  from  $(s_{u1}/p_1)_I$ . This method was based on the experimental evidence of Henkel and Sowa (1963) and that of the authors themselves (Mitachi and Ueda 1969 and Mitachi and Kitago 1973) that the water content in normal consolidation is uniquely defined by the average effective consolidation pressure irrespective of the stress systems during consolidation. The authors' present test data indicate that the relationship mentioned above is not always be satisfied. Therefore, the previous method of estimation lost its basis.

Accordingly, let us consider how to represent the relation between  $(s_{u1}/p_1)_{K_0}$  and  $(s_{u1}/p_1)_I$ in simple expression. From the experimental results in the past (e.g. Henkel and Sowa 1963, Ladd 1965, Nakase et al. 1969, Mitachi et al. 1970) the maximum difference between the values of  $(s_{u1}/p_1)_I$  and  $(s_{u1}/p_1)_{K_0}$  is about 20%. Now, it should be noted that the undrained shear strengths  $s_u$  in  $s_u/p$  mentioned above are not the shear stresses acting upon the failure plane but the ones exerted on the 45° plane. Mikasa (1969) proposed a convenient method to obtain the  $s_u$  on the failure plane from the conventional CIU test. His method is as follows. CIU test data are arranged as if they were conducted under the condition of constant average principal stress (in terms of total stress), and the plane on which this average principal stress acts is presumed to be the failure plane. The undrained shear strength obtained by this method is 6% less than that obtained by assuming  $\phi_{\mu}=0$ . Strictly speaking, the shear stress calculated by this method is not always equal to that on the failure plane, but the difference between the shear stress on the 45° plane and that on the failure plane may be in this order. On the other hand, the undrained shear strengths for stability computation have to be obtained from the tests in plane strain condition, since the conditions of many field problems closely approximate to those of plane strain. Investigations hitherto (e.g. Henkel and Wade, 1966, Kitago et al. 1973 and Vaid and Campanella, 1974) show that the  $s_u/p$  in plane strain condition is about 8 to 10 % larger than that in axi-symmetrical stress condition. Therefore, it can roughly be assumed that both effects mentioned above cancel each other.

Consequently, for conservative estimate, let  $(s_{u_1}/p_1)_{K_0} = 0.8(s_{u_1}/p_1)_I$ , and following equation is obtained

$$(s_{un}/p_n)_{K_0} = 0.8 (s_{u1}/p_1)_I n^{1-\lambda}$$

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(26)

Estimation of In Situ Undrained Shear Strength of Overconsolidated Clay

Using Eq. (26), the ratio of in situ undrained shear strength  $s_u$  to effective overburdon pressure p for overconsolidated clay can be estimated from conventional laboratory test. The procedures are as follows.

(1) From the conventional CU triaxial test which is performed under consolidated pressures greater than 1.5 to 2 times the in situ maximum past pressure (Ladd and Foott 1974), we obtain the value  $(s_{u1}/p_1)_I$ .

(2) Obtain the compression index  $C_c$  and swelling index  $C_s$  from a standard oedometer test, and calculate the value  $\lambda$ .

(3) Putting the values  $(s_{u1}/p_1)_I$ ,  $\lambda$  and the overconsolidation ratio *n* for the ground into Eq. (26), then one can get the value  $(s_{un}/p_n)_{K_0}$ .

#### CONCLUSIONS

1) Based on the several assumptions, the ratio of undrained shear strength  $s_u$  to effective swelling pressure p for overconsolidated clay can be expressed approximately by Eq. (10) irrespective of the stress systems during consolidation and swelling.

2) From the experiments for three saturated remolded clays, it was found that the water content after  $K_0$  consolidation is not always equal to that after isotropic consolidation under the same average effective consolidation pressure.

3) Coefficient of earth pressure at rest  $K_{0n}$  in overconsolidation state can be expressed as a function of overconsolidation ratio n. But finding out the dominant factor which govern the  $K_{0n}$  versus n relationship is the subject for a future study.

4) Pore water pressure coefficient at failure  $A_f$  in overconsolidation state within the range of  $n \leq 10$  is represented by Eq. (22) for  $K_0$  condition and by Eq. (23) for isotropic stress condition.

5) Using Eq. (26),  $s_u/p$  in overconsolidated clay can be estimated from the data obtained by conventional laboratory test.

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#### NOTATION

A = Skempton's pore water pressure coefficient

 $A_f =$  Skempton's pore water pressure coefficient at failure

 $A_{fi}, A_{fn}$ =Skempton's pore water pressure coefficient at failure in normally and overconsolidated samples

 $A_0$  = initial cross sectional area of sample

 $C_c = \text{compression index}$ 

 $C_f = \text{slope of } w \text{ versus } \log p_f \text{ line}$ 

 $C_s, C_{s1}$ =swelling index in terms of vertical effective swelling pressure  $C_{sm}$ =swelling index in terms of average effective swelling pressure

c' =cohesion intercept in terms of effective stress

 $c_e$ =Hvorslev effective cohesion

CIU=isotropically consolidated undrained test

CIRIU= isotropically consolidated and rebounded undrained test  $CK_0U=K_0$  consolidated undrained test

 $CK_0RK_0U=K_0$  consolidated and  $K_0$  rebounded undrained test

 $\Delta V =$  change in volume of sample

 $\Delta H$ =change in height of sample

 $I_p = \text{plasticity index}$ 

 $K_0$  = coefficient of earth pressure at rest

 $K_{01}, K_{0n}$  = coefficient of earth pressure at rest in normal and overconsolidation state n = overconsolidation ratio

 $n_0 = \text{overconsolidation ratio at } K_{0n} = 1$ 

p = preshear vertical effective stress

 $p_c$  = vertical effective stress

 $p_f$ =effective stress at failure on the 45° plane  $\left(=\frac{\sigma_1'+\sigma_3'}{2}\right)$ 

 $p_1, p_n$  = preshear vertical effective stress in normal and overconsolidation state

 $p_s$  = vertical effective swelling pressure

 $s_u =$  undrained shear strength

 $s_{u1}, s_{un} =$  undrained shear strength in normally and overconsolidated samples w = water content

$$\alpha = \frac{\log \frac{1+2K_{01}}{3}}{1+2K_{01}}$$

$$\log n_0$$

 $\beta = \text{slope of } \log s_{un}/p_n \text{ versus } \log n \text{ line}$ 

 $\kappa = \text{coefficient of cohesion}$ 

$$\lambda = C_s/C_c$$
  
 $\lambda_m = C_{sm}/C_c$   
 $\mu = C_s/C_f$ 

 $\sigma_1', \sigma_3' =$  major and minor effective principal stress

 $\sigma_{1c}', \sigma_{mc}' =$  vertical and average effective consolidation pressure

 $\sigma_{1s}, \sigma_{ms}$  = vertical and average effective swelling pressure

 $\sigma_{e'} =$  equivalent consolidation pressure

 $\phi'$  = effective angle of shearing resistance

 $\phi_e$ =Hvorslev effective angle of internal friction

 $\phi_{\mu}$  = interparticle friction angle

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